

# Electronic Acknowledgement Receipt

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Application Number:

09104852

JUL 11 2011

International Application Number:

OFFICE OF PETITIONS

Confirmation Number:

4751

Title of Invention:

COLLET ASSEMBLY AND MANUFACTURING PROCESS

First Named Inventor/Applicant Name:

TUAN NGUYEN

Customer Number:

22848

Filer:

Karl M Steins

Filer Authorized By:

Attorney Docket Number:

NGU1-A94A

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21-JUN-2011

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25-JUN-1998

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18:19:08

Application Type:

Utility under 35 USC 111(a)

## Payment information:

Submitted with Payment

no

07/10/2011 CKHLOK 00000001 6149165

## File Listing:

01 FC:1599

4290.00 OP

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of Petitions.	ngu1a94apetitionforreconsiderationfile062011.pdf	491445 5620c05a42bb6564bf99074141889eddc62330f	no	22

Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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NGU1-A94A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF PETITIONS

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JUN 24 2010

OFFICE OF PETITIONS

In re Patent of:

NGUYEN

Patent No.: 6,149,165

Issued: 11/21/2000

For: IMPROVED COLLET ASSEMBLY  
AND MANUFACTURING PROCESS

Examiner: Mark Henderson

Group Art Unit: 3722

San Diego, California 92108

06/22/2010 DALLEN 00000006 6149165

01 FC:1599

2130.00 OP

**PETITION FOR REINSTATEMENT OF PATENT EXPIRED UNINTENTIONALLY**  
**UNDER 37 CFR 1.378(b)**

Attn: Office of Petitions  
Honorable Commissioner of  
Patents and Trademarks  
Box DAC  
Washington, D.C. 20231

The above-identified patent became expired for failure to timely file the First Maintenance Fee.

**Facts:**

1. On May 27, 2004, the maintenance fee for the subject Patent was filed via facsimile to be charged to the undersigned credit card;
2. On or about May 2005, the undersigned became aware that the Maintenance Fee payment had not been recorded, and thereafter filed a petition to reinstate the patent. No reply was received by the undersigned to said petition.
3. The second maintenance fee became due on May 21, 2008; it was not paid without intent to abandon.
4. Recently, in March 2010, the undersigned became aware that the subject events had occurred and that neither the First, nor the Second Maintenance Fee payments had been received. Neither the Patent Owner, nor the undersigned, intended the subject Patent to become expired.

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5. This Petition is being timely filed after Patentee has become aware that the Patent has become expired.

**APPLICANT HEREBY PETITIONS FOR REINSTATEMENT OF THIS PATENT**

**NOTE:** A grantable petition requires the following items:

- (1) Maintenance fee, plus any surcharges; and
- (2) A showing that the entire delay was unintentional.

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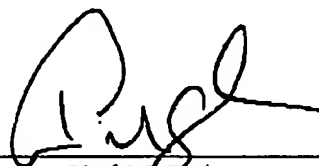
## 1. Maintenance Fee plus surcharges (small entity) :

First Maintenance Fee: \$490  
Surcharge after expiration: \$1640  
Second Maintenance Fee: \$1240  
Surcharge after expiration: \$1640

Total Fees: \$5010

2. Statement. The entire delay in filing the instant petition was unintentional. As to the first Maintenance Fee, it was paid on time by the undersigned, and was immediately petitioned to correct upon notice that it had not been received. No reply to that petition was filed. As to the non-payment of the second maintenance fee, the Patent Owner is not in possession of records to demonstrate why this fee was not paid. Apparently since the First Maintenance Fee was not received (and apparently the Petition was not approved), no Statement was transmitted by the USPTO regarding the Second Maintenance Fee. Furthermore, no notice of non-payment of the Second Maintenance Fee was received by Patent Owner or the undersigned.

The undersigned has the final Maintenance Fee docketed in its docketing system for payment not later than 5/21/2012.

June 17, 2010

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